

# Nuclear Equation of State for Compact Stars and Supernovae

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This is not a conventional talk. I will not present the results of a particular work. The scope of this talk is to initiate & motivate the discussion on the topic of the nuclear EoS



## The Four Topics of the WG2 as defined in the MOU of NewCompstar

- Nuclear EoS for Compact Stars & Supernovae
- Low-energy QCD & Super-dense matter
- Superfluidity & Superconductivity in Compact Stars
- Transport phenomena & Reaction rates for Compact Stars & Supernovae

# Nuclear EoS for Compact Stars & Supernovae

One of the tasks of WG2 is:

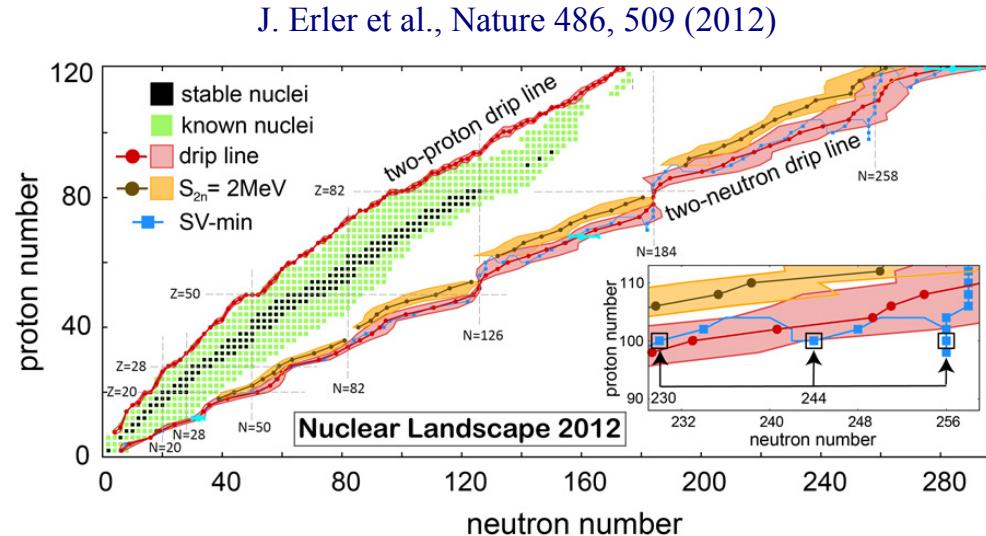
Validate available EoS across different experiments & observations.  
The WG will define a protocol where the EoS, and the underlying interactions, are consistently checked and compared with nuclear experiments & observations of compact stars

To such end we need to:

- ❖ Define where we are today (not an easy task)
  - search, compile & classify existing EoS
- ❖ Define where we want to go tomorrow (even more difficult)
  - Perhaps perform a collective effort to construct reliable EoSs to be used in astrophysical applications ? Partly done with CompOSE

# What do we know to build the Nuclear EoS ?

- ❖ Masses, radii & other properties of  $\sim 3200$  isotopes



- ❖ Around  $\rho_0$  the nuclear EoS can be characterized by few isoscalar & isovector parameters

$$\frac{E}{A}(\rho, \beta) = E_0 + \frac{1}{2} K_0 x^2 + \frac{1}{6} Q_0 x^3 + \left( E_{sym} + Lx + \frac{1}{2} K_{sym} x^2 + \frac{1}{6} Q_{sym} x^3 \right) \beta^2 + \dots$$

$$x = \frac{\rho - \rho_0}{3\rho_0} \quad , \quad \beta = \frac{\rho_n - \rho_p}{\rho_n + \rho_p}$$

## ❖ Isoscalar parameters

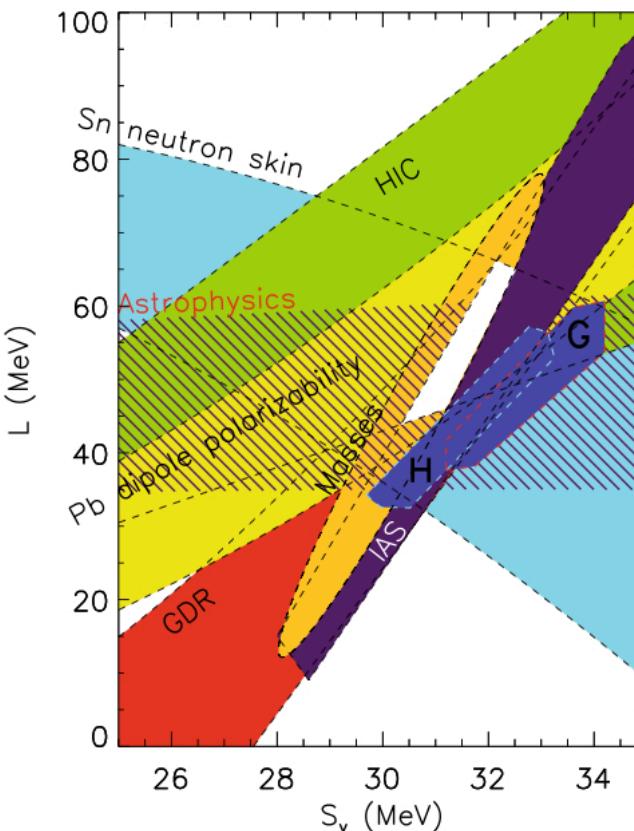
$$E_0 \approx -16 \text{ MeV} , \quad K_0 = 9\rho_0^2 \frac{\partial^2 E_{IS}(\rho)}{\partial \rho^2} \Big|_{\rho=\rho_0} \approx 240 \pm 20 \text{ MeV} , \quad Q_0 = 27\rho_0^3 \frac{\partial^3 E_{IS}(\rho)}{\partial \rho^3} \Big|_{\rho=\rho_0} \approx -500 \div 300 \text{ MeV}$$

## ❖ Isovector parameters

Less certain. Large variation of the prediction of the different models

$$E_{sym} = \frac{1}{2} \frac{\partial^2 E / A}{\partial \beta^2} \Big|_{\beta=0} , \quad L = 3\rho_0 \frac{\partial E_{IV}}{\partial \rho} \Big|_{\rho=\rho_0}$$

$$K_{sym} = 9\rho_0^2 \frac{\partial^2 E_{IV}}{\partial \rho^2} \Big|_{\rho=\rho_0} , \quad Q_{sym} = 27\rho_0^3 \frac{\partial^3 E_{IV}}{\partial \rho^3} \Big|_{\rho=\rho_0}$$



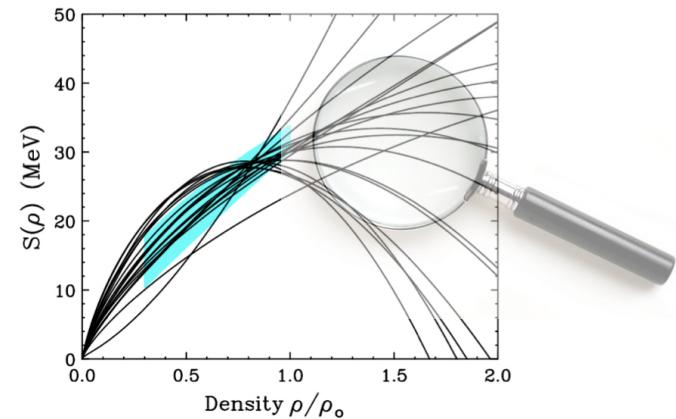
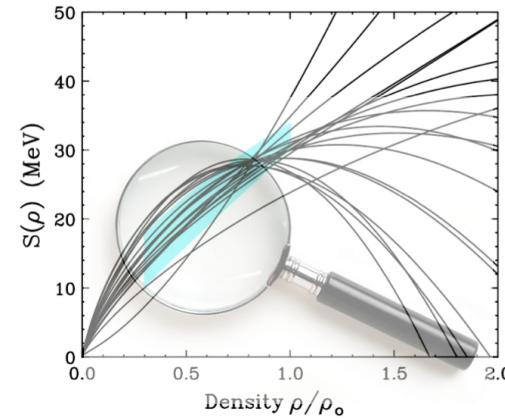
# Symmetry Energy Sensitive Observables

- Sub-saturation densities

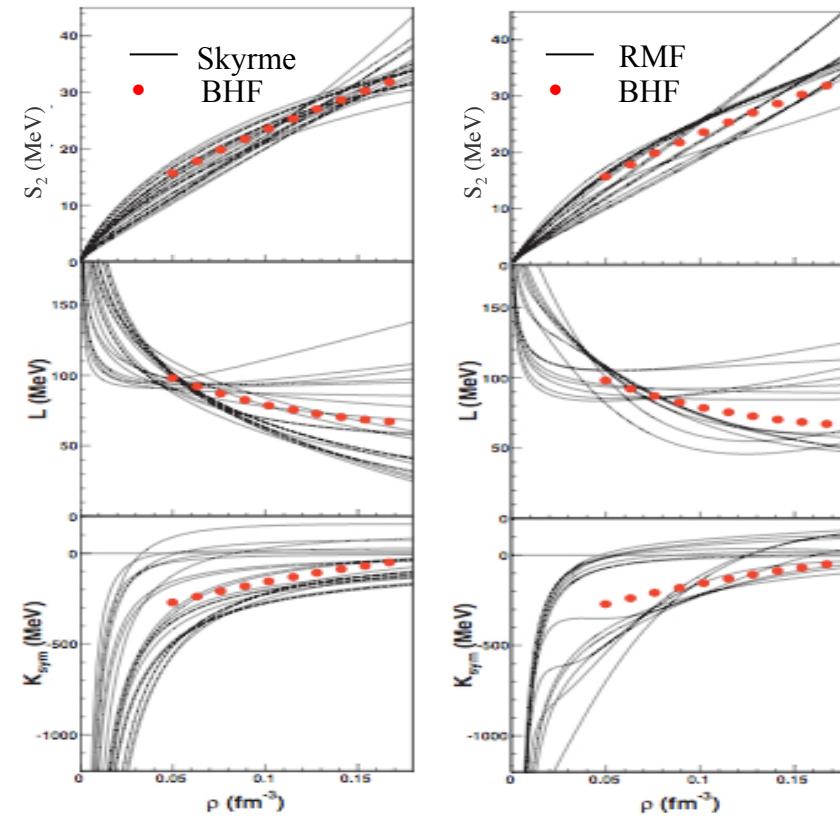
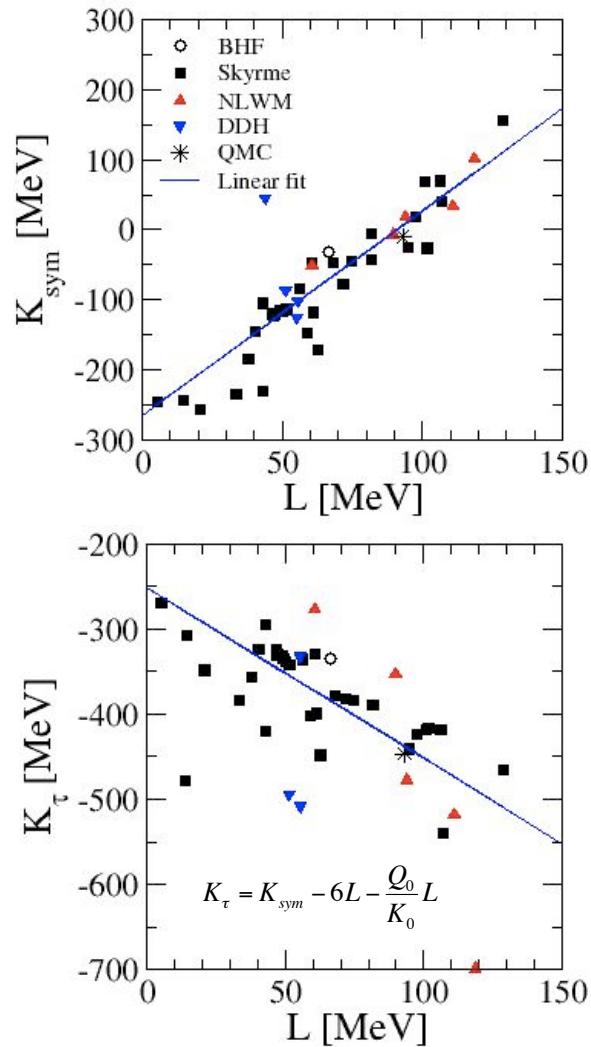
- ✓ Neutron skin thickness in heavy nuclei
- ✓ Giant & pygmy resonances in neutron-rich nuclei
- ✓ n/p &  $t/{}^3He$  ratios in nuclear reactions
- ✓ Isospin fragmentation & isospin scaling in nuclear multi-fragmentation
- ✓ Neutron-proton correlation functions at low relative momenta
- ✓ Isospin diffusion/transport in heavy ion collisions
- ✓ Neutron-proton differential flow

- Supra-saturation densities

- ✓  $\pi^-/\pi^+$  &  $K^-/K^+$  ratios in heavy ion collisions
- ✓ Neutron-proton differential transverse flow
- ✓ n/p ratio of squeezed out nucleons perpendicular to the reaction plane
- ✓ Nucleon elliptic flow at high transverse momenta

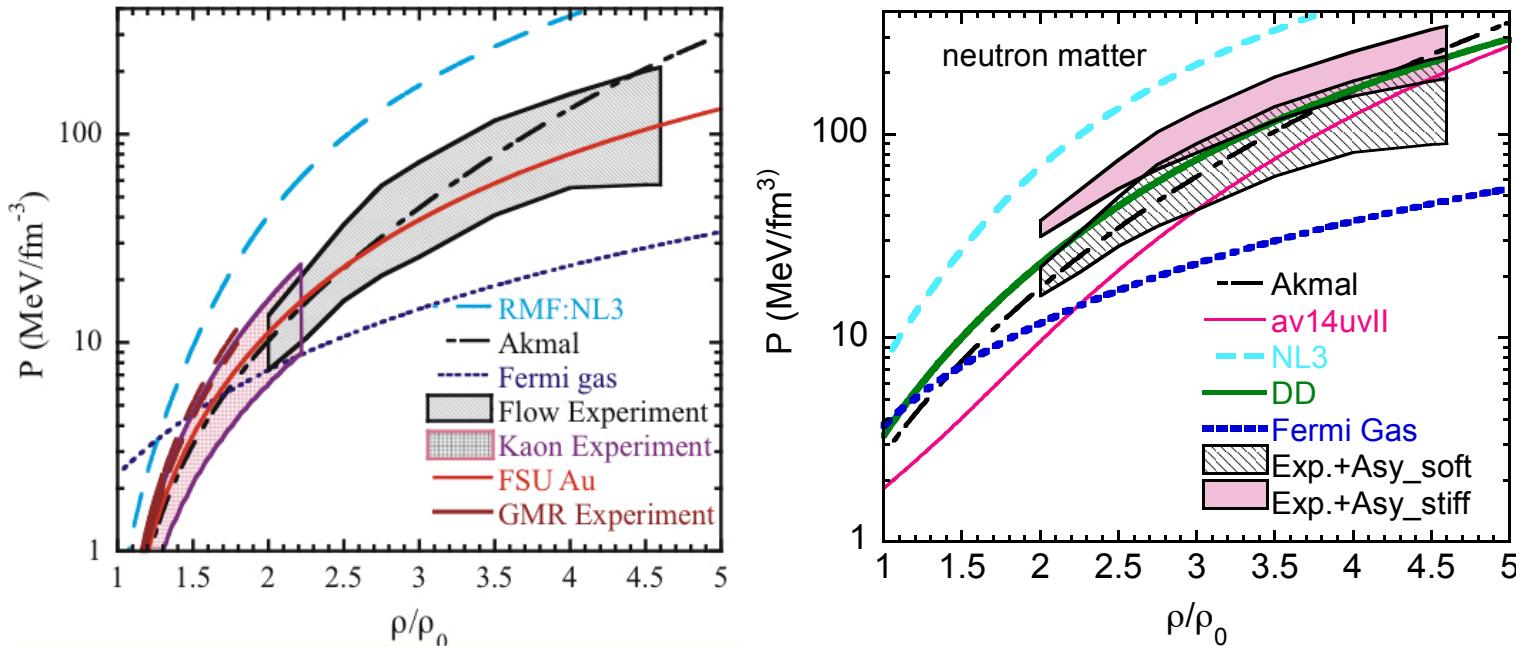


# Correlation of $K_{\text{sym}}$ & $K_{\tau}$ with $L$



- ✓  $E_{\text{sym}}$ : crossing at  $\rho \sim 0.11$  fm<sup>-3</sup>,  $E_{\text{sym}}(0.11) \sim 24 \pm 4$  MeV  
(expected from finite nuclei constraints at  $\rho < \rho_0$ )
- ✓  $L$  : tendency to cross at  $\rho \sim \rho_0/3$
- ✓  $K_{\text{sym}}$ : no crossing observed

# Constraints of the Nuclear EoS from HIC



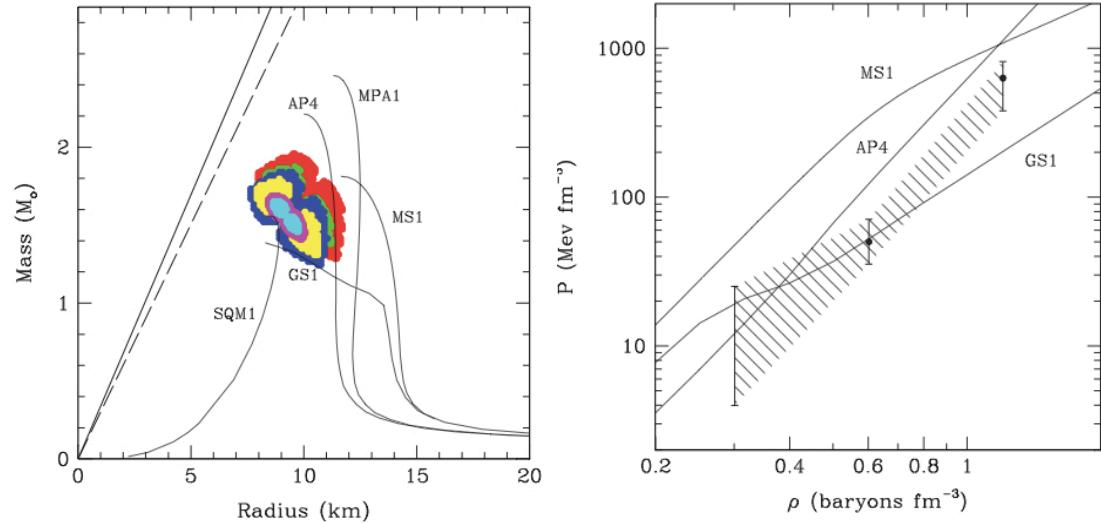
- ❖ Collective flow constraints confirms the softening of the EoS at high densities
- ❖ Constraints from kaon production are consistent with the flow constraints and bridge gap to GMR constraints
- ❖ Symmetry energy dominates the uncertainty in the neutron matter EoS



# Astrophysical determination of the Nuclear EoS

- ❖ Piecewise polytropic EoS above  $\rho_0$  from mass-radius relation of 3 type-I X-ray bursts

- ❖ SLy below  $\rho_0$
- ❖ Piecewise polytropic above  $\rho_0$



$$\rho_{i-1} < \rho \leq \rho_i, \quad \varepsilon = \alpha_i \rho + \beta_i \rho^{\Gamma_i}, \quad P = (\Gamma_i - 1) \beta_i \rho^{\Gamma_i}$$

$\log P_0 (0.37\rho_{\text{ns}})$	$\log P_1 (1.85\rho_{\text{ns}})$	$\log P_2 (3.7\rho_{\text{ns}})$	$\log P_3 (7.4\rho_{\text{ns}})$
-0.64	[0.6–1.4]	$1.70^{+0.15}_{-0.15}$	$2.8^{+0.1}_{-0.2}$

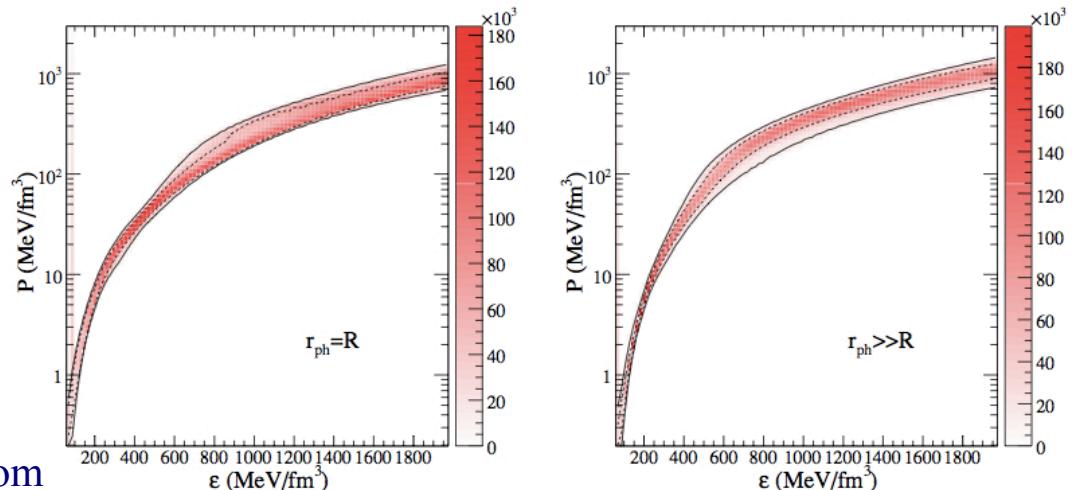


F. Ozel & D. Psaltis, PRD 80, 103003 (2009)  
F. Ozel, G. Baym & T. Guver, PRD 82, 101301(R) (2010)

# Astrophysical determination of the Nuclear EoS

- ❖ Nuclear parameters determined in a Bayesian data analysis of:

- ❖ 3 type-I X-ray burst
- ❖ 3 transient low mass X-ray binaries
- ❖ Cooling of 1 isolated NS,  
RX J1856-3754



Parameters in the range expected from  
nuclear systematics & lab. experiments

Quantity	Lower Limit	Upper Limit
$K$ (MeV)	180	280
$K'$ (MeV)	-1000	-200
$S_v$ (MeV)	28	38
$\gamma$	0.2	1.2
$n_1$ (fm $^{-3}$ )	0.2	1.5
$n_2$ (fm $^{-3}$ )	0.2	2.0
$\epsilon_1$ (MeV fm $^{-3}$ )	150	600
$\epsilon_2$ (MeV fm $^{-3}$ )	$\epsilon_1$	1600

$$\begin{aligned} \epsilon = n_B \left\{ m_B + B + \frac{K}{18}(u - 1)^2 + \frac{K'}{162}(u - 1)^3 \right. \\ \left. + (1 - 2x)^2 [S_k u^{2/3} + S_p u^\gamma] + \frac{3}{4} \hbar c x (3\pi^2 n_b x)^{1/3} \right\} \end{aligned}$$

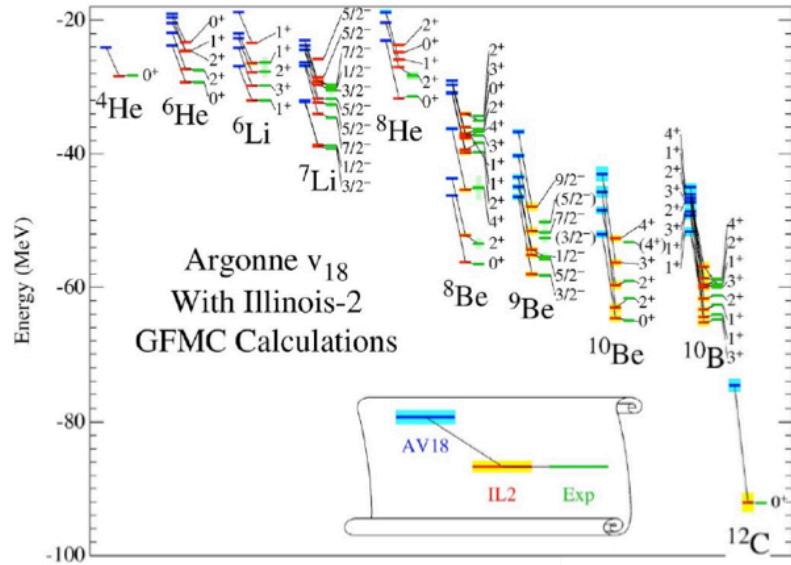


A. W. Steiner, J. M. Lattimer & E. F. Brown, ApJ 722, 33 (2010)

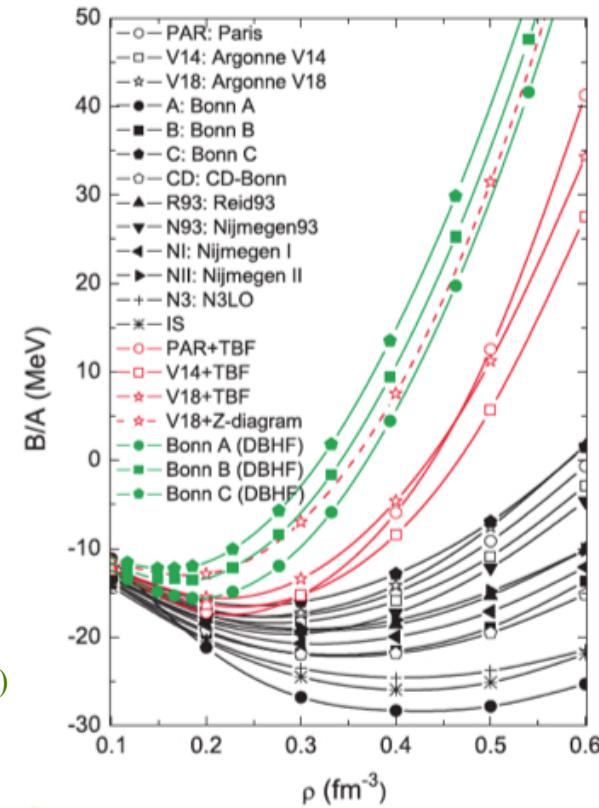
# Three-Nucleon Forces

Necessary to:

- ❖ Reproduce the spectra of light nuclei
- ❖ Saturate properly in non-relativistic many-body calculations



S. Pieper & R.B. Wiringa, Annu. Rev. Nucl. Part. Sci. 51, 53 (2001)



Z. H. Li et al., PRC 74, 047304 (2006)



## Exotic Matter: The Hyperon Puzzle



“Hyperons → “soft (or too soft) EoS” not compatible (mainly in microscopic approaches) with measured (high) masses. However, the presence of hyperons in the NS interior seems to be unavoidable.”



- ✓ can YN & YY interactions still solve it ?
- ✓ or perhaps hyperonic three-body forces ?
- ✓ what about quark matter ?

# Approaches to the Nuclear EoS

## Phenomenological approaches

Based on effective density-dependent interactions with parameters adjusted to reproduce nuclear observables and compact star properties

- ❖ Liquid drop type: BPS, BBP, LS, OFN
- ❖ Thomas-Fermi: Shen
- ❖ ETFSI: BSk
- ❖ HF: NV, Sk, PAL, RMF, RHF, QMC
- ❖ Statistical models: HWN, RG, HS



I apologize for all  
those approaches  
I have missed

## Microscopic ab-initio approaches

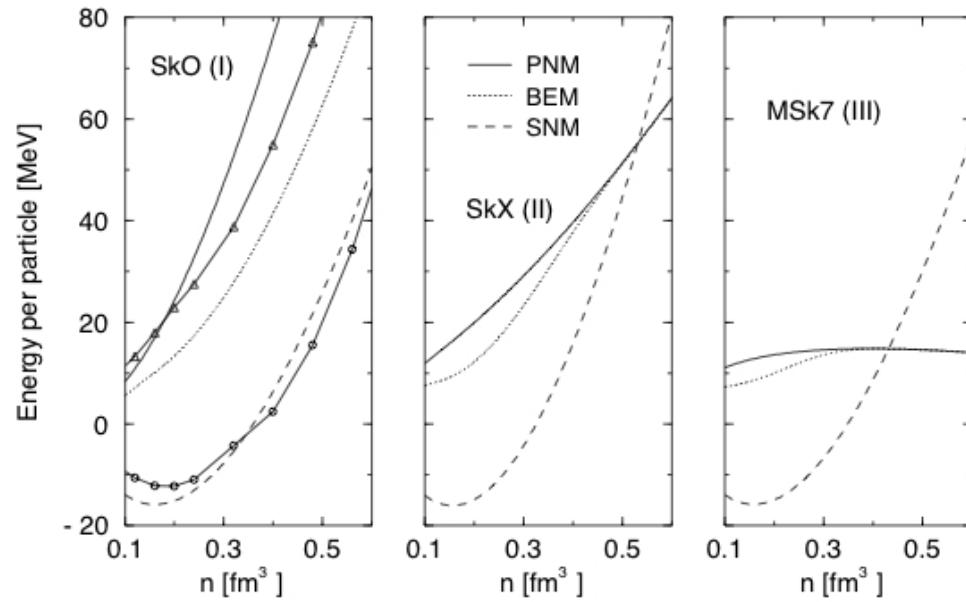
Based on two- & three-body realistic interactions. The EoS is obtained by “solving” the complicated many-body problem

- ❖ Variational: APS, CBF, FHNC, LOVC
- ❖ Monte-Carlo: VMC, DMC, GFMC, AFDMC
- ❖ Diagrammatic: BBG (BHF), SCGF
- ❖ RG methods:  $V_{\text{low } k}$  & SRG from  $\chi$ EFT potentials
- ❖ DBHF

# A glance on the phenomenological models

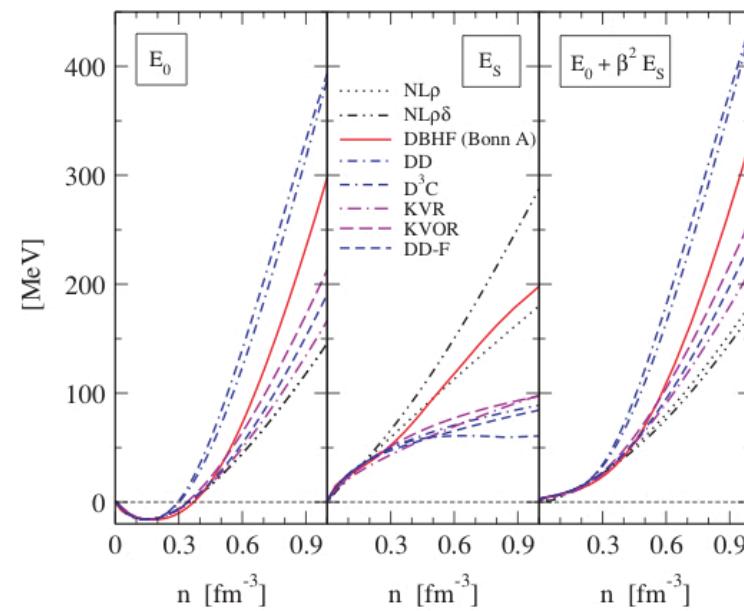
Proliferation of phenomenological models predicting different SM & NM EoS

Skyrme



J. R. Stone et al., PRC 68, 034324 (2003)

RMF

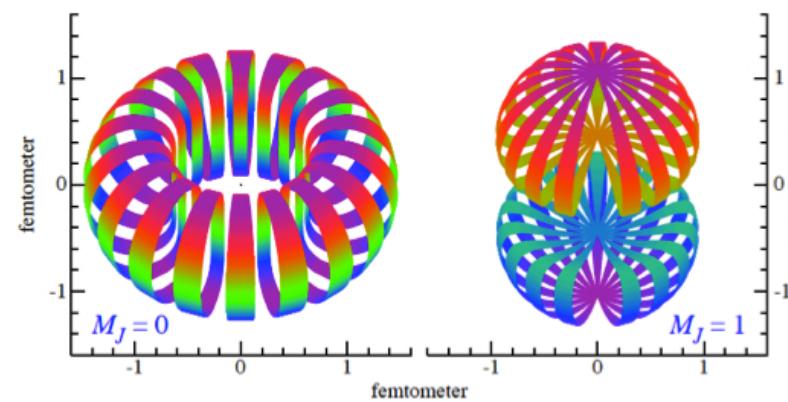
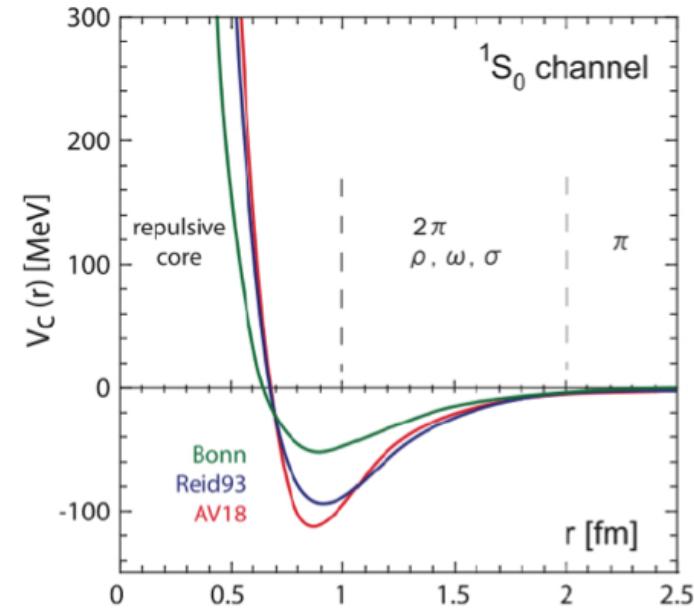


T. Klan et al., PRC 74, 035802 (2006)

Recently M. Dutra et al., (arXiv:1405.3633) have analyzed 263 parametrizations of 7 different types of RMF imposing constraints from SM, PNM & Symmetry Energy and its derivatives. Similar analysis was done for 240 Skyrme forces by M. Dutra et al., (PRC 85, 035201 (2012))

# Difficulties of microscopic approaches

- ❖ Different NN potentials in the market
- ❖ Short range repulsion makes any perturbation expansion in terms of  $V$  meaningless. Different ways of treating SRC
- ❖ Complicated channel & operatorial structure (central, spin-spin, spin-isospin, tensor, spin-orbit, ...)



# A comparison of some microscopic approaches

Compare different many-body techniques using the same NN interaction to find the sources of discrepancies & ultimately determine “systematic error” associated to the nuclear EoS predicted by many-body theory

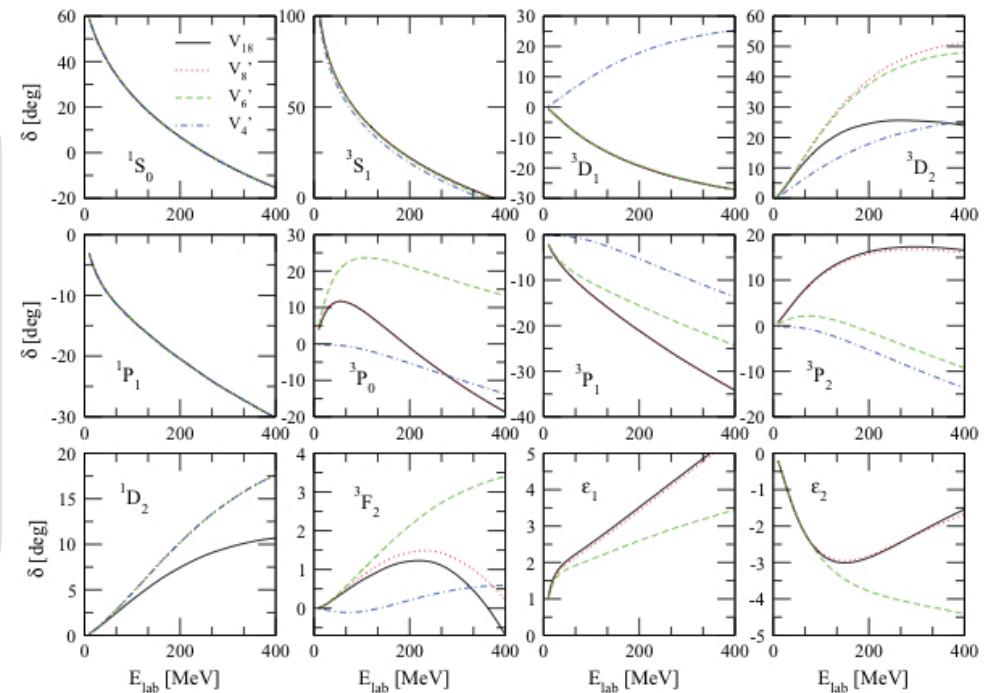
The NN: Av18 & simpler ones: Av4', Av6' & Av8' (3BF are not included)

$$V_{ij} = \sum_{p=1,18} V_p(r_{ij}) O_{ij}^p$$

$$O_{ij}^{p=1,14} = \left[ 1, (\vec{\sigma}_i \cdot \vec{\sigma}_j), S_{ij}, \vec{L} \cdot \vec{S}, L^2, L^2 (\vec{\sigma}_i \cdot \vec{\sigma}_j), (\vec{L} \cdot \vec{S})^2 \right]$$

$$\otimes [1, (\vec{\tau}_i \cdot \vec{\tau}_j)]$$

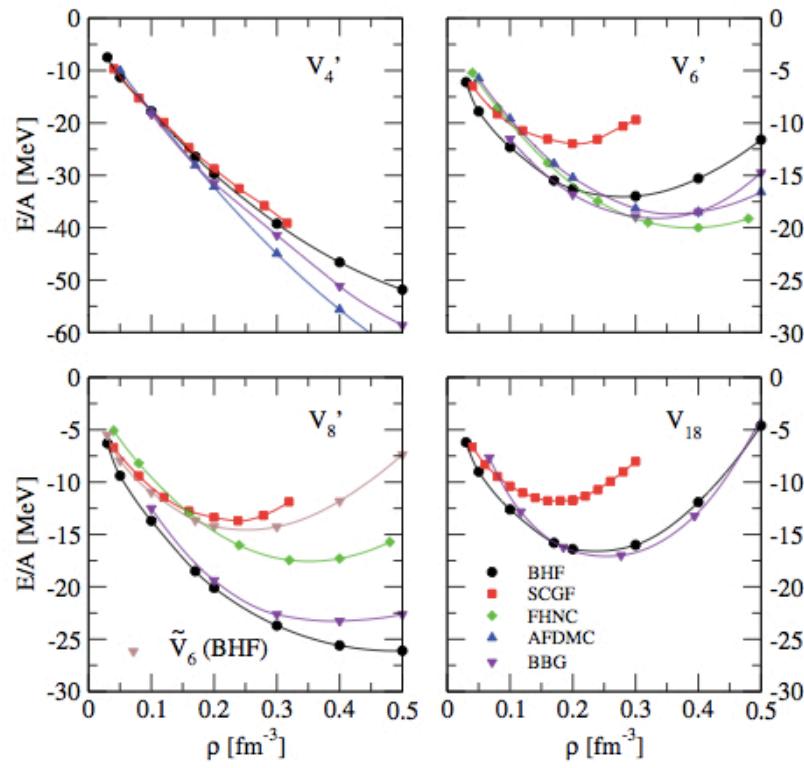
$$O_{ij}^{p=15,18} = \left[ T_{ij}, (\vec{\sigma}_i \cdot \vec{\sigma}_j) T_{ij}, S_{ij} T_{ij}, (\boldsymbol{\tau}_{zi} + \boldsymbol{\tau}_{zj}) \right]$$



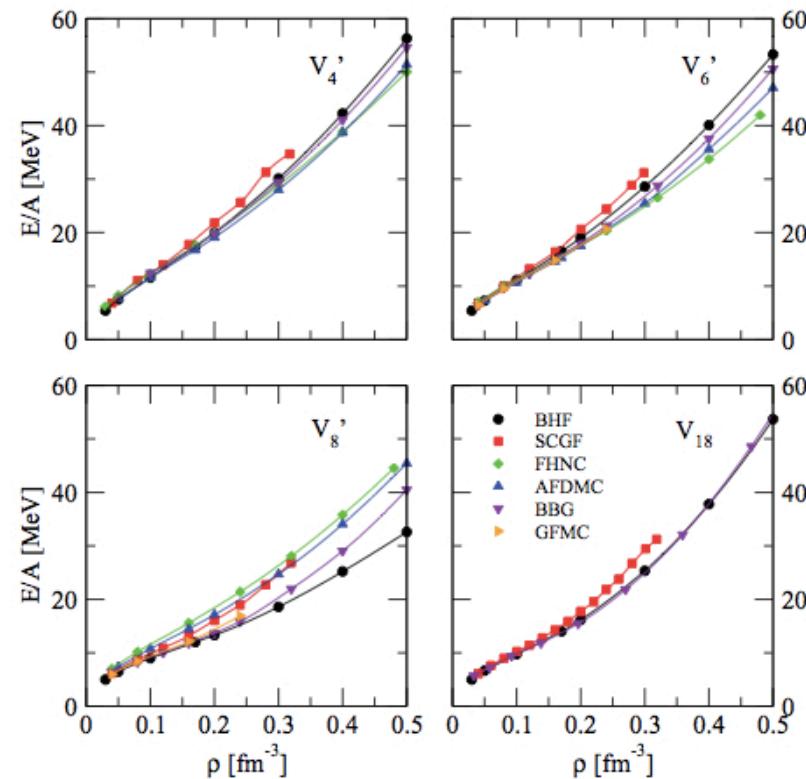
M. Baldo, A. Polls, A. Rios, H.-J. Schulze & I. Vidaña, PRC 86, 064001 (2012)

# A comparison of some microscopic approaches

Symmetric nuclear matter



Pure neutron matter



Tensor & spin-orbit and their in-medium treatment are at the heart  
of most of the observed discrepancies

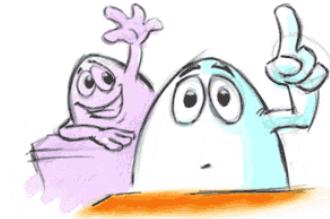


M. Baldo, A. Polls, A. Rios, H.-J. Schulze & I. Vidaña, PRC 86, 064001 (2012)

# A First Attempt of Classification

Approach	Type	$\beta$ -eq.	T=0	Finite T	Inhom. Matt.	Hom. Matt.	CompOSE	Form
LDM	Phen	X	X	X	X	X	X	Table
TF	Phen	X		X	X			Table
ETFSI	Phen	X	X		X	X		Analytic & Table
HF	Phen	X	X	X				Table
SM	Phen	X	X	X	X	X	X	Table
Var	Micr	X	X			X		Analytic & Table
MC	Micr	X	X			X		Analytic & Table
Diagr	Micr	X	X	X		X		Table
RG	Micr		X			X		Table
DBHF	Micr	X	X			X		Table

# Open Questions



- ❖ Why is the isovector part of the EoS & particularly the density dependence of the nuclear symmetry energy so uncertain ?
- ❖ What is the role of many-body cluster contributions ?
- ❖ Is there a solution for the hyperon puzzle ? . If yes, what it is ?
- ❖ And many, many others ...

## Phenomenological approaches

### ❖ Liquid drop type

[BPS] G. Baym, C. Pethick & P. Sutherland, *Astrophys. J* 170, 299 (1971)

[BBP] G. Baym, H. A. Bethe & C. Pethick, *Nucl. Phys. A* 175, 225 (1971)

[LS] J. M. Lattimer & F. D. Swesty, *Nucl. Phys. A* 535, 331 (1991)

[OFN] M. Oertel, A. Fantina & J. Novak, *Phys. Rev. C* 85, 055806 (2012)

### ❖ Thomas-Fermi

[Shen] H. Shen, H. Toki, K. Oyamatsu, K. Sumiyoshi, *Nucl. Phys. A* 637, 435 (1998)

### ❖ ETFSI

[BSk] S. Goriely, N. Chamel & J. M. Pearson, *Phys. Rev. C* 82, 035804 (2010)

### ❖ Hartree-Fock

[Sk] D. Vautherin & D. M. Brink, *Phys. Rev. C* 3, 626 (1972)

[NV] J. N. Negele & D. Vautherin, *Nucl. Phys. A* 207, 298 (1973)

[RMF] B. D. Serot & J. D. Walecka, *Adv. Nucl. Phys.* 16, 1 (1986)

[RHF] A. Boussy et al., *Phys. Rev. Lett.* 55, 1731 (1985); *Phys. Rev. C* 36, 380 (1987)

[PAL] M. Prakash, T. L. Ainsworth & J. M. Lattimer, *Phys. Rev. Lett.* 61, 2518 (1988)

[QMC] P. A. M. Guichon, A. W. Thomas & K. Tsushima, *Nucl. Phys. A* 814, 66 (2008)

### ❖ Statistical models

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[RG] A. Raduta & F. Gulminelli, *Phys. Rev. C* 82, 065801 (2010)

[HS] M. Hempel & J. Schaffner-Bielich, *Nucl. Phys. A* 837, 210 (2010)

## Incomplete List of References



## Microscopic approaches

### ❖ Variational

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- [CBF] A. Fabrocini & S. Fantoni, Phys. Lett. B 298, 263 (1993)
- [APR] A. Akmal, V. R. Pandharipande & D. G. Ravenhall, Phys. Rev. C 58, 1804 (1998)

### ❖ Monte Carlo

- [VMC] R. B. Wiringa, Phys. Rev. C 43, 1585 (1991)
- [AFDMC] K. E. Schmidt & S. Fantoni, Phys. Lett. B 446, 99 (1999)
- [GFMC] J. Carlson et al., Phys. Rev C 68, 025802 (2003)

### ❖ Diagrammatic

- [SCGF] L. P. Kadanoff & G. Baym, *Quantum Statistical Mechanics* (Benjamin, NY., 1962)
- [BBG] B. D. Day, Rev. Mod. Phys. 39, 719 (1967)

### ❖ Renormalization Group methods

- [V<sub>low k</sub>] S. K. Bogner, T. T. S. Kuo & A. Schwenk, Phys. Rep. 286, 1 (2003)
- [SRG ] S. K. Bogner, R. J. Furnstahl & R. J. Perry, Phys. Rev. C 75, 061001(R) (2007)

### ❖ DBHF

- B. Ter Haar & R. Malfiet, Phys. Rep. 149, 207 (1987); Phys. Rev. C 36, 1611 (1987)
- R. Brockmann & R. Machleidt , Phys. Rev. C 42, 1965 (1990)
- L. Shen et al., Phys. Rev. C 56, 216 (1997); C. Fuchs et al., Phys. Rev. C 58, 2022 (1998)

The discussion is served

